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(S) -Threonine/ α , α - (S) -diphenylvalinol-derived chiral ionic liquid: an immobilized organocatalyst for asymmetric syn-aldol reactions

Natalia A. Larionova, Alexandr S. Kucherenko, Dmitry E. Siyutkin, Sergei G. Zlotin *

N.D. Zelinsky Institute of Organic Chemistry, Russian Academy of Sciences, 47 Leninsky Prospect, 119991 Moscow, Russia

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ABSTRACT

Chiral ionic liquids containing (S)- or (R)-threonine amide and α, α -(S)-diphenylvalinol units were synthesized In the presence of the (S)-threonine-derived catalyst reactions between ketones with secondary carbon atom(s) at the α -position with respect to the carbonyl group and aromatic (heteroaromatic) aldehydes afforded the corresponding syn-aldols in high yields (up to 99%) and with high diastereo-(syn/ anti up to 97:3) and enantioselectivity (up to 99% ee), which was maintained over three reaction cycles. 2011 Elsevier Ltd. All rights reserved.

1. Introduction

Asymmetric aldol reactions are widely used for the formation of the carbon-carbon bonds in organic compounds.^{[1](#page-5-0)} These reactions are often carried out in the presence of chiral organocatalysts, in particular (S)-proline,^{[2](#page-5-0)} (S)-proline amide,^{[3](#page-5-0)} sulfonylamides,^{[4](#page-5-0)} proline-containing di- and tripeptides⁵ and some others, with high diastereo- and enantioselectivity. As a rule, the major reaction products have anti-configuration, whereas syn-aldols are formed exclusively in native aldolase catalyzed aldol reactions.^{[6](#page-5-0)}

Recently, it was found that some primary α -amino acid- α or pri-mary amine-derived^{[8](#page-5-0)} organocatalysts direct, like enzymes, asymmetric aldol reactions toward the formation of syn-products. Among them, α -amino acid amides **A**, containing a chiral β -aminoalcohol fragment within the amide unit are the most efficient ones (Fig. 1).⁹

The synthesis of catalysts A, containing several chiral centers in the molecule, is rather complicated and/or expensive, which makes their regeneration desirable. Very recently, we discovered that the modification of proline amides with ionic groups, in particular with imidazolium cation and PF $_6^-$ anion, opens up a convenient way to their immobilization[.10](#page-5-0) It might be expected that application of this approach to primary a-amino acid amides would lead to the development of immobilized organocatalysts for syn-aldol reactions. As far as we know, organocatalysts of this type have not been prepared so far.

Fig. 1. Known organocatalysts of syn-aldol reactions.

2. Results and discussion

To verify this hypothesis, we synthesized (2S,3R)-threonine 1a and (2R,3S)-threonine **1b** amides, bearing the α, α -(S)-diphenylvalinol fragment along with the ionic group. The synthetic scheme included the reaction of commercially available N-Cbz-protected amino acids **2a,b** with α, α -(S)-diphenylvalinol in the presence of $CICO₂Et/Et₃N$, esterification of the corresponding amides **3a,b** under the action of 5-bromovaleric acid and DCC/DMAP, subsequent reaction of esters **4a,b** with methyl-1H-imidazole, followed by metathesis of the anion in bromides **5a,b** and deprotection $(H_2-5\%)$ Pd/C) of the amino group in hexafluorophosphates **6a,b.** Salts 1a and 1b melt at 110 °C and 96 °C, respectively, and can be described as chiral ionic liquids ([Scheme 1](#page-1-0)).

We studied the catalytic properties of (S)-threonine-derived chiral salt 1a in a model aldol reaction between hydroxyacetone 7a

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^{*} Corresponding author. Tel.: $+7$ 499 137 13 53; fax: $+7$ 499 135 53 28; e-mail address: zlotin@ioc.ac.ru (S.G. Zlotin).

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Scheme 1. Synthesis of (S) - or (R) -threonine-derived catalysts **1a.b** modified with ionic groups.

(10 equiv) and 4-nitrobenzaldehyde 8a at ambient temperature in $CH₂Cl₂$, THF, toluene, NMP, water or under neat conditions. The amount of organocatalyst 1a was 15 mol % with respect to aldehyde 8a. Under the studied conditions syn-aldol 9a formed as the major product (syn/anti>9:1). The enantiomeric excess of the compound syn-9a was $92-96%$ ee irrespective of the solvent. However, the product yield was influenced by the solvent nature: it was poor $(10-45%)$ in CH₂Cl₂, THF, NMP or water (Table 1, entries $1-4)$ and rose to 99% in toluene or under neat conditions (Table 1, entries 5, 6). Lowering the temperature to 4 \degree C or ketone **7a** excess to 5 equiv resulted in a decrease of the reaction rate but scarcely effected selectivity (Table 1, entries 7, 8). The reaction between compounds $7a$ and $8a$ in the presence of (R) -threonine-derived organocatalyst 1b (15 mol %) under optimal conditions (PhMe, rt) gave the aldol ent-9a in 99% yield (Table 1, entry 9), however, diastereo- (syn/anti 76/24) and enantioselectivity $(-14%$ ee) were lower than in the respective reaction catalyzed by the isomer 1a. The presence of the primary amino acid fragment in catalysts 1a and 1b is crucial for the syn-diastereoselectivity: anti-aldol **9a** was the major product when the reaction between compounds 7a and 8a was carried out in the presence of immobilized catalyst $1c^{10c}$ $1c^{10c}$ $1c^{10c}$ (15 mol %) bearing (S)-proline instead of (S)-threonine unit (Table 1, entry 10).

Next, we applied catalyst 1a in asymmetric aldol reactions between hydroxyacetone (7a) and aromatic (heteroaromatic) aldehydes $8a$ -j. In all the cases, the corresponding aldols $9a$ -o were obtained. High yields $(90-99%)$ were achieved after 24 h in the reactions of aldehydes $8a-f$, bearing electron-withdrawing groups on the aromatic ring ([Table 2](#page-2-0), entries $1-6$) and in the reaction of

Table 1

Asymmetric aldol reactions between compounds 7a and 8a in the presence of organocatalysts 1a, 1b or 1c: optimization of reaction conditions

Total yield of a mixture of syn- and anti-isomers after column chromatography on silica gel.

^b ¹H NMR spectroscopic data (J_H³_{-H}⁴ syn 2.6 Hz, J_H³_{-H}⁴ anti 5.0 Hz).

^c HPLC (Daicel Chiralpak AD, Hexane/Isopropanol=9:1, Flow rate=0.5 mL/min, 254 nm): t_R =62.5 min (minor-3S,4R-syn-[9a](#page-5-0)), 93.0 min (major-3R,4S-syn-9a). d Data according to Ref. 9a.

The reaction was carried out at 4° C.

f The amount of 7a was 5 equiv with respect to 8a.

^g The ee value of anti-9a is given.

Table 2

IL 1a-catalyzed asymmetric aldol reactions between compounds 7 and $8⁴$

Entry 9 R¹ R² Ar Yield of **9** $\frac{8}{5}$ dr (syn/anti) dr (syn) and dr (syn) $\frac{d}{dr}$ 1 a H OH $4-NQ_2C_6H_4$ 99 (91^e)
2 **h** H OH 2-NO-C-H, 94 (92^f) 93/7 (80/20^e $94 (80^e)$ 2 **b** H OH $2-\text{NO}_2\text{C}_6\text{H}_4$ 94 (92^f $,$ 91 $^{\rm g}$, 85 $^{\rm h}$ (3) 97/3 ($>95/5$ f, $99/1$ ^g, $97/3$ ^h $(98^{\circ}, 99^{\circ}, 98^{\circ})$ 3 c H OH 2 -ClC₆H₄ 96 (91^f 96 (91^f, 99^g) (97/3 (94/6^f, 88/12^g) $(97^{\text{f}}, 92^{\text{g}})$ 4 d H OH 4-FC₆H₄ 99 86/14 92 5 **e** H OH 4-MeO₂CC₆H₄ 95 (95^f)
6 f H OH 4-OHCC₆H₄ 90 $93/7 (93/7^f)$ (95^{f}) 6 f H OH 4-OHCC₆H₄ 90 80/20 8099 7^i g H OH 4-MeOC₆H₄ 79 (50^g) $79(50^g)$ 96/4 (94/6^g) $96 (93^g)$ 8 **h** H OH 3-PhOC₆H₄ 48 94/6 94 9 i H OH 2-Naphthyl 60 95/5 976 87 10 j H OH 2-Thienyl 99 95/5 98 11 k H OMe $4\text{-}NO_2\text{C}_6\text{H}_4$ 99 84/16 84/16 12 **l** H OMe 2-ClC₆H₄ 98 95/5 97 13 **m** H OMe 2-Thienyl 99 95/5 96 14^{i} **n** H Me $4-\text{NO}_2\text{C}_6\text{H}_4$ 46 $75/25$ 86 15^j **o** OH OH 4-NO₂C₆H₄ 40 90/10 92

^a Unless otherwise specified, reactions were carried out with 7 (1.3 mmol), **8** (0.13 mmol), and the toluene (0.2 mL).

b Total yield of a mixture of syn- and anti-isomers after column chromatography on silica gel.

^c ¹H NMR spectroscopic data.

 d HPLC data (Chiralcel AD, eluent n-hexane/i-PrOH 7/3, 0.7 mL/min, 254 nm) for purified compounds.

Data according to Ref. [9a](#page-5-0).

^f Data according to Ref. [9c](#page-5-0).

^g Data according to Ref. [8b.](#page-5-0)

h Data according to Ref. [8a.](#page-5-0)

^j Dihydroxyacetone 7d was generated in situ from corresponding dimer in the presence of AcOH (15 mol %) and the reaction period was 60 h.

2-thiophene aldehyde 8j (Table 2, entry 10). Interestingly, only one of two aldehyde groups in terephthalaldehyde 8f took part in the reaction (Table 2, entry 6). 4-Methoxybenzaldehyde (8g) and polynuclear aromatic aldehydes **8h**, i were found to be less active under the conditions studied: yields of respective aldols **9h**,i after 24 h were $48-60\%$ and the aldol $9g$ was obtained in 79% yield after 48 h (Table 2, entries $7-9$). The scope of the donor ketones is not limited by hydroxyacetone 7a. Methoxyacetone (7b) also reacted with aldehydes 8 under the studied conditions yielding the respective aldols $9k-m$ in nearly quantitative yields (Table 2, entries 11–13). Ethyl methylketone $(7c)$ and 1,3-dihydroxyacetone $(7d)$, which was generated in situ from commercially available 1,3-dihydroxyacetone dimer in the presence of AcOH (15 mol %) were less active in the studied reactions. Moderate yields of corresponding aldols $9n,o$ were achieved after $50-60$ h, however, the syn:anti ratio and ee values of products 9n,o were rather high (Table 2, entries 14, 15).

According to ¹H NMR spectroscopic data (J $^{34}_{\rm H-H}$ _{syn} 0–4 Hz, $J_{\text{H-H}}^{3.4}$ anti=5–10 Hz) the syn/anti diastereomeric ratio (dr) of aldols **9a–o** was high ($>75:25$) irrespective of the aldehyde structure. Moreover, the ee values of the major syn-isomers were similar to or even higher than those under the influence of the most efficient primary α -amino acid-derived catalysts \mathbf{A} .^{[9](#page-5-0)} The absolute (3R,4S)configuration was assigned to the major enantiomers of aldols 9 based on HPLC-analysis of the product syn-9a [Chiralcel AD, eluent n-hexane/i-PrOH 9/1, 0.5 mL/min, retention times: 62.5 min (minor-3S,4R), 93.0 min (major-3R,4S)] and comparison of the results with available HPLC-data for both enantiomers of this compound. $7a,8a$ This assignment was in accordance with optical rotations of syn-aldols **9a** ([α] $_{10}^{20}$ +39.0), **9b** ([α] $_{10}^{20}$ –142.0), **9c** ([α] $_{10}^{20}$ $+37.2$), their signs were opposite to the reported rotation signs for their (3S,4R)-antipodes^{[8b](#page-5-0)} under similar measurement conditions $(c 1, CH₃OH)$.

The feasibility of recycling of catalyst 1a was demonstrated in the asymmetric aldol reaction between compounds 7a and 8a. After the reaction completed, aldol 9a was extracted with diethyl ether and replaced with fresh portions of starting compounds 7a and 8a in toluene. Product yield and reaction diastereo- and enantioselectivity retained over three reaction cycles (Table 3). However, the reaction period increased from 24 h in the first cycle to 4 and 7 days in the second and in the third cycles, respectively, and in the fourth iteration the yield of aldol 9a did not exceed 20% over a week. The catalyst deactivationwas not caused by its 'washing out' during the workingup procedure. In contrast, the mass of recovered matter increased by 15–20% in each subsequent cycle presumably due to the formation of by-products incorporating the catalyst. The reaction rate became somewhat higher after the addition of AcOH (15 mol %). Hence, we assume that the acid returned part of the poisoned catalyst to the catalytic cycle.

Recycling of the catalyst 1a in the reaction between compounds 7a and $8a^a$

^a Reactions were carried out under conditions specified in Table 2; After the indicated time the catalyst was separated from the products by addition of ether (see [Experimental section](#page-3-0)) and reused.

Reaction period in the presence of AcOH (15 mol %) is given in brackets.

The prepared compounds are valuable intermediates for the synthesis of polyols with syn-configuration of hydroxy groups, which are structural units of carbohydrates and some other natural compounds.^{[11](#page-6-0)} The synthetic utility of syn-aldols 9 was

Reaction period was 50 h.

demonstrated by the reduction of the aldol 9b to the triol 10 with sodium borohydride (Scheme 2). Despite of the absence of chiral catalyst, the reaction was stereoselective affording all-syn-1-(2 nitrophenyl)butane-1,2,3-triol 10 as the major product. The (1S,2R,3R)-configuration was assigned to compound 10 based on the configuration of starting aldol 9b and on the identity of its diastereomeric composition with the reported data. $8a$ ³ The 1S/1R-ratio for compound 10 was 96/4 (¹H NMR spectroscopic data) in accordance with that in the aldol 9b.

Scheme 2. Reduction of 9b to syn, syn-triol 10.

3. Conclusion

In conclusion, we have synthesized for the first time an immobilized organocatalyst for asymmetric syn-aldol reactions by incorporating (S)-threonine and α, α -(S)-diphenylvalinol units into chiral ionic liquid. In the presence of this catalyst ketones bearing secondary carbon atom(s) at the α -position with respect to the carbonyl group reacted with aromatic (heteroaromatic) aldehydes affording the respective syn-aldols in high yields (up to 99%) and with high diastereo- (syn/anti up to 97:3) and enantioselectivity (up to 99% ee), which was maintained over 3 reaction cycles. The optimization of the catalyst structure aiming at the extension of its operation period is currently under way in our laboratory.

4. Experimental

4.1. General

NMR 1 H and 13 C spectra were recorded with Bruker AM 300 instrument in CDCI₃, DMSO- d_6 and acetone- d_6 . Chemical shifts of ¹H and ¹³C were measured relative to Me₄Si or CDCl₃, respectively. High resolution mass spectra (HRMS) were measured on a Bruker microTOF II instrument using electrospray ionization $(ESI)¹²$ $(ESI)¹²$ $(ESI)¹²$ The measurements were done in a positive ion mode (interface capillary voltage -4500 V) or in a negative ion mode (3200 V); mass range from m/z 50 to m/z 3000 Da; external or internal calibration was done with Electrospray Calibrant Solution (Fluka). A syringe injection was used for solution in methanol (flow rate 3 μ L/min). Nitrogen was applied as a dry gas; interface temperature was set at 180 \degree C. IR spectra (KBr pellets) were recorded with a Specord M82 instrument. Specific optical rotations $[\alpha]^{20}_{\rm D}$ were measured with a Jasco DIP-360 instrument at 589 nm. Silica gels 0.060-0.200 and 0.035–0.070 nm (Acros) were used for column chromatography. Solvents were purified by standard methods.

4.2. Catalysts preparation

4.2.1. 2-Hydroxy-1-{[1-(hydroxy-diphenyl-methyl)-2-methyl-propylcarbamoyl]-propyl}-carbamic acid benzyl esters $(3a)$ and $(3b)$. A solution of ethylchloroformate (1.51 mL, 15.8 mmol) in THF (10 mL) was added dropwise to a stirred solution of (S) - or (R) -N- $($ carbobenzyloxy)threonine 2a or 2b $(4.0 \text{ g}, 15.8 \text{ mmol})$ and $Et₃N$ (2.21 mL) 15.8 mmol) in THF (20 mL) at 0 °C for 15 min. After 30 min, α, α -(S)diphenylvalinol (16.0 mmol, 3.6 g) was gradually added (15 min) to the mixture. The resulting solution was stirred at 0° C for 1 h, kept at ambient temperature for 16 h and then diluted with ethyl acetate (30 mL). By-product $Et_3N \cdot HCl$ was filtered off, the filtrate was evaporated under reduced pressure and the residue was washed with hexane/Et₂O 3/1 (2×10 mL). The resulting white solid was dried in vacuo (0.5 Torr) at 50 \degree C for 2 h to afford amides 3a or 3b.

Compound 3a: Yield 5.40 g (70%) as colorless solid, mp 120–122 °C; $[\alpha]_D^{26}$ –48.1 (c 1, CHCl₃); ¹H NMR (CDCl₃) δ : 7.52 (m, 4H, Ar), 6.96-7.44 (11H, Ar, 1H, NH), 5.91 (d, J=8.1 Hz, 1H, NH), 5.15 (m, 1H, CHO), 5.10 (s, 2H, CH₂CO), 4.98 (d, J=9.5 Hz, 1H, CHNHCO), 4.01 (d, J=7.0 Hz, 1H, CHNH₂), 1.88 (m, 1H, CH(CH₃)₂), 0.89 (d, $J=7.0$ Hz, 3H, OCHCH₃), 0.84 (d, J=7.0 Hz, 6H, CH(CH₃)₂); ¹³C NMR (CDCl3) d: 170.4, 156.0, 146.3, 137.1, 128.3, 128.0, 127.8, 127.7, 127.0, 126.1, 125.4, 125.2, 80.9, 65.6, 65.4, 61.4, 57.7, 28.6, 22.9, 19.4, 17.9. IR spectra (KBr, cm $^{-1}$): 3401, 3063, 2963, 1707, 1643, 1532, 1450, 1250, 1063, 747, 669. Elemental analysis calcd for $C_{29}H_{34}N_2O_5$: C, 71.00; H, 6.99; N, 5.71; found C, 71.12; H, 6.81; N, 5.82.

Compound 3b: Yield 5.6 g (73%) as colorless solid, mp 132–134 °C; $[\alpha]_D^{26}$ +17.8 (c 1, CHCl₃); ¹H NMR (acetone-d₆) δ : 8.06 $(d, J=8.0$ Hz, 4H, Ar), 7.61-7.96 (11H, Ar), 7.58 $(d, J=8.0$ Hz, NH), 6.60 (br, 1H, NH), 5.57 (m, 1H, CHO), 5.53 (m, 2H, CH2CO), 5.48 (m, 1H, CHNHCO), 4.12 (m, 1H, CHNH2), 2.26 (m, 1H, CH(CH3)2), 1.51 (d, J=6.2 Hz, 3H, OCHCH₃), 1.38 (d, J=6.6 Hz, 6H, CH(CH₃)₂); ¹³C NMR (CDCl3) d: 169.4, 154.2, 146.3, 137.1, 128.3, 128.0, 127.8, 127.8, 127.0, 126.1, 125.4, 125.2, 81.3, 65.6, 65.4, 61.4, 57.7, 28.6, 22.9, 19.4, 17.9. IR spectra (KBr, cm $^{-1}$): 3400, 3060, 2965, 1709, 1645, 1530, 1453, 1255, 1066, 749, 670. Elemental analysis calcd for $C_{29}H_{34}N_2O_5$: C, 71.00; H, 6.99; N, 5.71; found C, 71.28; H, 6.84; N, 5.86.

4.2.2. 5-Bromopentanoic acid 2-benzyloxycarbonylamino-2-[1-(hydroxy-diphenyl-methyl)-2-methyl-propylcarbamoyl]-1-methyl-ethyl esters (4a) and (4b). A mixture of amide 3a or 3b (5.0 g, 10.2 mmol), 5-bromovaleric acid (1.84 g, 10.2 mmol), DCC (2.10 g, 10.2 mmol), and DMAP (cat.) in CH₂Cl₂ (30 mL) was stirred at 5 °C for 12 h. The precipitate was filtered off and washed with CH_2Cl_2 (3×5 mL). The combined organic extracts were evaporated, the residue was purified by column chromatography on silica gel (eluent: n-hexane/ EtOAc $3/1$) to afford ester **4a** or **4b**.

Compound **4a**: Yield 5.3 g (80%) as colorless oil, $[\alpha]_D^{26} - 21.25$ (c 1, CHCl₃); R_f =0.31; ¹H NMR (CDCl₃) δ : 7.60–7.15 (m, 15H, Ar), 6.75 (d, $J=7.0$ Hz, 1H, NH), 5.60 (d, $J=9.9$ Hz, 1H, NH), 5.30 -5.05 (s, 2H, $CH₂CO$, 1H, CH), 5.01 (d, J=9.8 Hz, 1H, CH), 4.18 (m, 1H, CHNH₂), 3.32 $(t, J=6.3 \text{ Hz}, 2H, CH_2Br)$, 2.60 (br, 1H, OH), 2.22 (m, 2H, CH₂), 1.82 (m, 2H, CH2), 1.70 (m, 1H, CH; 2H, CH2), 1.80 (m, 2H, CH2; 1H, CH(CH3)2), 0.85–0.76 (m, 3H, OCHCH₃; 6H, CH(CH₃)₂); ¹³C NMR (CDCl₃) δ : 172.0, 168.8, 155.2, 147.4, 146.2, 137.1, 128.5-125.4 (Ar), 81.0, 69.5, 65.8, 58.9, 57.9, 34.7, 31.9, 28.7, 23.1, 23.0, 17.9, 16.6. IR spectra (KBr, cm^{-1}): 3464, 3321, 3062, 2936, 1726, 1648, 1533, 1449, 1239, 1064, 747, 699. Elemental analysis calcd for $C_{34}H_{41}BrN_2O_6$: C, 62.48; H, 6.32; N, 4.29; found C, 62.23; H, 6.24; N, 4.41.

Compound **4b**: Yield 5.2 g (78%) as colorless oil, $[\alpha]_D^{26} - 21.45$ (c 1, CHCl₃); R_f =0.34; ¹H NMR (acetone-d₆) δ : 7.17–7.51 (15H, Ar). 7.14 $(d, J=7.0$ Hz, 1H, NH), 6.67 $(d, J=9.9$ Hz, 1H, NH), 5.40 (m, 1H, CH), 5.32 (m, 1H, CHNH₂), 5.0 (m, 2H, CH₂CO), 4.12 (dd, $J^1=4.9$ Hz, J^2 =12.8 Hz, 1H), 3.35 (t, J=6.3 Hz, 2H, CH₂Br), 2.78 (br, 1H, OH), 1.86 (m, 1H, CH(CH₃)₂), 1.80 (m, 2H, CH₂CH₂), 1.63 (m, 2H, CH₂CH₂), 1.24 $(d, J=6.2 \text{ Hz}, 3H, OCHCH_3)$, 0.86 (m, 6H, CH(CH₃)₂); ¹³C NMR (CDCl₃) δ : 171.0, 168.6, 156.2, 146.1, 145.3, 128.6-125.3 (Ar), 82.2, 69.6, 67.3, 58.8, 58.0, 33.0, 31.9, 28.9, 23.3, 22.7, 17.4, 16.8. IR spectra (KBr, cm^{-1}): 3466, 3319, 3060, 2933, 1728, 1650, 1530, 1451, 1242, 1065, 749, 701. Elemental analysis calcd for $C_{34}H_{41}BrN_2O_6$: C, 62.48; H, 6.32; N, 4.29; found C, 62.31; H, 6.19; N, 4.37.

4.2.3. 1-(4-{2-Benzyloxycarbonylamino-2-[1-(hydroxy-diphenylmethyl)-2-methyl-propylcarbamoyl]-1-methyl-ethoxycarbonyl}-butyl)- 3-methyl-3H-imidazol-1-ium bromides $(5a)$ and $(5b)$. A mixture of compound 4a or 4b (5.00 g, 7.65 mmol) and 1-methyl-1H-imidazole (2.46 g, 30 mmol) was heated at 80 \degree C for 5 min, cooled to 20 \degree C and washed thoroughly with $Et₂O$ (5 \times 10 mL). The residue was dissolved in MeOH (3 mL), then $Et₂O$ (30 mL) was added to the solution.

The separated oil was dried under reduced pressure (0.5 Torr) for 1 h to afford bromides 5a or 5b.

Compound 5a: Yield 4.8 g (85%) as highly hydroscopic yellow solid, $[\alpha]_D^{26}$ -33.2 (c 1, CHCl₃); ¹H NMR (CDCl₃) δ : 10.04 (s, 1H, NCHN), 8.09-6.98 (m, 17H, Ar; 1H, NH), 5.98 (d, J=8.2 Hz, 1H, NH), 5.02-4.90 (m, 2H, CH₂; 1H, CH; 1H, CH), 4.47 (m, 1H, CH), 4.16 (m, 2H, CH₂), 3.96 (s, 3H, CH₃), 2.26 (m, 2H, CH₂), 1.87 (m, 2H, CH₂; 1H, CH), 1.53 (m, 2H, CH₂), 0.98 (d, J=7.5 Hz, 3H, OCHCH₃), 0.78 (d, J=6.4 Hz, 6H, CH(CH₃)₂); ¹³C NMR (CDCl₃) δ : 171.7, 168.9, 155.9, 147.2, 146.0, 136.9, 136.5, 128.3-122.2 (Ar), 80.8, 69.4, 65.4, 58.8, 57.8, 48.4, 35.8, 34.5, 28.6, 22.7, 21.7, 17.8, 16.1. IR spectra (KBr, cm $^{-1}$): 3391, 3063, 2958, 1721, 1664, 1525, 1450, 1238, 1169, 1064, 749, 702. Elemental analysis calcd for $C_{38}H_{47}BrN_4O_6$: C, 62.04; H, 6.44; N, 7.62; found C, 62.22; H, 6.35; N, 7.49.

Compound 5b: Yield 4.5 g (80%) as highly hydroscopic yellow solid, $[\alpha]_D^{26}$ –38.84° (c 1.6, CHCl₃); ¹H NMR (CDCl₃) δ : 9.97 (s, 1H, NCHN), 7.88 (d, J=10.6 Hz, 1H, NH), 7.90-6.92 (m, 17H, Ar), 6.44 (d, J^1 =7.7 Hz, 1H, NH), 5.17 (m, 1H, CH), 5.05 (s, 2H, CH₂CO), 5.01 (m, 1H, CH,), 4.44 (m, 1H, CH), 4.17 (m, 2H, CH2), 3.82 (s, 3H, CH3), 2.29 (m, 2H, CH₂), 1.83 (m, 2H, CH₂; 1H, CH), 1.53 (m, 2H, CH₂), 1.20 (d, J_1^{1} =6.0 Hz, 3H, CH₃), 1.01 (d, J_1^{1} =6.6 Hz, 3H, OCHCH₃), 0.80 (d, J^1 =6.3 Hz, 3H, CH(CH₃)₂); ¹³C NMR (CDCl₃) δ : 171.9, 169.0, 156.3, 147.5, 145.0, 134.5, 128.6-122.1 (Ar), 82.0, 70.1, 66.7, 58.6, 49.3, 36.6, 33.3, 29.2, 28.9, 23.0, 21.0, 18.3, 16.4. IR spectra (KBr, cm⁻¹): 3390, 3060, 2959, 1723, 1665, 1528, 1451, 1240, 1170, 1062, 752, 701. Elemental analysis calcd for $C_{38}H_{47}BrN_4O_6$: C, 62.04; H, 6.44; N, 7.62; found C, 62.31; H, 6.29; N, 7.81.

4.2.4. 1-(4-{2-Benzyloxycarbonylamino-2-[1-(hydroxy-diphenylmethyl)-2-methyl-propylcarbamoyl]-1-methyl-ethoxycarbonyl}-butyl)-3-methyl-3H-imidazol-1-ium hexafluorophosphates ($6a$) and (6b). A solution of KPF $_6$ (1.1 g, 5.44 mmol) in water (10 mL) was added to a stirred solution of bromide $5a$ or $5b$ (4.0 g, 5.44 mmol) in water (10 mL). The precipitate was filtered off, washed with water $(3\times10 \text{ mL})$ and dried under reduced pressure (0.5 Torr) for 1 h.

Compound 6a: Yield 4.2 g (96%), colorless solid, mp 156–157 °C; $[\alpha]_D^{26}$ –28.1 (c 1, CHCl₃); ¹H NMR (CDCl₃) δ : 9.10 (s, 1H, NCHN), 8.10-6.90 (m, 17H, Ar; 1H, NH), 6.01 (d, J=8.0 Hz, 1H, NH), 5.01-4.87 (m, 2H, CH₂; 1H, CH; 1H, CH), 4.46 (m, 1H, CH), 4.20 (m, 2H, CH2), 3.96 (s, 3H, CH3), 2.26 (m, 2H, CH2), 1.87 (m, 2H, CH2; 1H, CH), 1.53 (m, 2H, CH₂), 0.98 (d, J=7.0 Hz, 3H, OCHCH₃), 0.78 (d, J=6.3 Hz, 6H, CH(CH₃)₂); ¹³C NMR (CDCl₃) δ : 170.1, 166.3, 155.9, 147.2, 146.0, 136.9, 136.5, 126.3-120.1 (Ar), 81.0, 70.3, 65.2, 57.8, 56.4, 43.1, 33.4, 34.6, 28.4, 21.4, 22.3, 17.6, 16.0. IR spectra (KBr, cm⁻¹): 3411, 3167, 2961, 1723, 1656, 1524, 1450, 1239, 1170, 1065, 844, 749, 703, 558. Elemental analysis calcd for $C_{38}H_{47}PF_6N_4O_6$: C, 57.00; H, 5.92; N, 7.00; found C, 56.92; H, 5.81; N, 7.11.

Compound 6b: Yield 4.25 g (97%), colorless solid, mp 168–169 °C; $[\alpha]_D^{26}$ – 36.1 (c 1, CHCl₃); ¹H NMR (CDCl₃) δ : 9.09 (s, 1H, NCHN), 8.11-6.90 (m, 17H, Ar; 1H, NH), 5.98 (d, $J=8.0$ Hz, 1H, NH), 5.01-4.87 (m, 2H, CH₂; 1H, CH; 1H, CH), 4.31 (m, 1H, CH), 4.18 (m, 2H, CH2), 4.01 (s, 3H, CH3), 2.26 (m, 2H, CH2), 1.87 (m, 2H, CH2; 1H, CH), 1.53 (m, 2H, CH₂), 0.98 (d, J=7.0 Hz, 3H, OCHCH₃), 0.78 (d, J=6.3 Hz, 6H, CH(CH₃)₂); ¹³C NMR (CDCl₃) δ : 169.1, 165.3, 156.7, 146.3, 145.6, 137.5, 136.5, 125.3-122.1 (Ar), 82.0, 70.8, 66.0, 58.8, 56.7, 44.8, 34.1, 34.8, 28.1, 21.2, 22.4, 17.63, 15.6. IR spectra (KBr, cm^{-1} ¹): 3412, 3166, 2963, 1727, 1655, 1521, 1452, 1241, 1172, 1068, 850, 746, 702, 560. Elemental analysis calcd for $C_{38}H_{47}PF_6N_4O_6$: C, 57.00; H, 5.92; N, 7.00; found C, 56.88; H, 5.80; N, 7.13.

4.2.5. 1-(4-{2-Amino-2-[1-(hydroxy-diphenyl-methyl)-2-methylpropylcarbamoyl]-1-methyl-ethoxycarbonyl}-butyl)-3-methyl-3Himidazol-1-ium hexafluorophosphates $(1a)$ and $(1b)$. The obtained colorless solid $6a,b$ (4.2 g, 5.00 mmol) was dissolved in CH₃OH (100 mL) and 5% Pd/C (300 mg) was added to the solution. The resulting suspension was stirred under H_2 (1 bar) for 2 h, filtered, the

filtrate was evaporated under reduced pressure (40 Torr) and the residue was dried in vacuo (0.5 Torr) for 2 h to afford catalyst 1a or 1b.

Catalyst 1a: Colorless solid, yield 3.5 g (97%), mp 110-112 °C; $[\alpha]_D^{26}$ -32.0 (c 1, CH₃OH); ¹H NMR (acetone-d₆) δ : 9.08 (s, 1H, NCHN), 7.76 (s, 2H, NCHCHN), 7.70 (s, 2H, NCHCHN), 7.60 (m, 4H, Ar), 7.44-7.03 (8H, Ar and NH), 5.12 (br, 1H, CHO), 5.00 (dd, J^1 =6.23 Hz, J^2 =2.2 Hz, 1H, CHNHCO), 4.37 (t, J=7.30 Hz, 2H, NCH₂CH₂), 4.21 (m, 1H, CHNH₂), 4.06 (s, 3H, NCH₃), 2.93 (br, 1H, OH), 2.34 (t, J=7.00 Hz, 2H, CH₂CO), 2.04 (m, 2H, CH₂CH₂), 1.96 (m, 1H, CH(CH₃)₂), 1.64 (m, 2H, CH₂CH₂), 0.94 (d, J=6.60 Hz 3H, OCHCH₃), 0.77 (dd, J^1 =6.60 Hz, J^2 =2.5 Hz, 6H, CHCH₃); ¹³C NMR (CDCl3) d: 171.9, 170.5, 147.4, 146.6, 128.1, 127.8, 126.2, 125.7, 125.4, 123.7, 122.4, 81.1, 71.00, 59.1, 57.6, 48.6, 35.8, 32.9, 28.9, 28.6, 23.0, 22.03, 18.07. IR spectra (KBr, cm⁻¹): 3413, 2962, 1637, 1522, 1450, 1171, 843, 751, 707, 558. Elemental analysis calcd for $C_{30}H_{41}F_6N_4O_4P$: C 54.05; H 6.20; N 8.40; P 4.65. Found C 54.28; H 6.11; N 8.17; P 4.89. HRMS: $C_{30}H_{41}N_4O_4$ calcd 521.3122, found 521.3116. HRMS: C₃₀H₄₁N₄O₄ calcd 521.3122, found 521.3102.

Catalyst **1b**: Yield 3.5 g (97%), mp 96-98 °C $[\alpha]_D^{26}$ -11.1 (c 1, CH₃OH); ¹H NMR (DMSO-d₆) δ : 9.08 (s, 1H, NCHN), 7.70 (s, 2H, NCHCHN), 7.68 (s, 2H, NCHCHN), 7.47 (m, 4H, Ar), 7.34-7.00 (8H, Ar and NH), 4.85 (m, 1H, CHO), 4.53 (d, J=5.10 Hz, 1H, CHNHCO), 4.16 (t, J=6.80 Hz, 2H, NCH₂CH₂), 3.90 (m, 1H, CHNH₂), 3.84 (s, 3H, NCH₃), 2.17 (t, J=7.10 Hz, 2H, CH₂CO), 1.75 (m, 3H, CH₂CH₂, CH(CH₃)₂), 1.44 (m, 2H, CH₂CH₂), 0.85 (d, J=6.40 Hz 3H, OCHCH₃), 0.66 (d, J=6.80 Hz, 3H, CHCH₃), 0.57 (d, J=5.50 Hz, 3H, CHCH₃); ¹³C NMR (CDCl3) d: 172.1, 169.5, 145.4, 144.3, 124.6, 126.4, 126.2, 123.9, 122.8, 122.6, 122.4, 82.3, 72.2, 60.3, 58.6, 50.7, 35.8, 32.9, 28.9, 28.4, 23.5, 21.0, 16.3. IR spectra (KBr, cm⁻¹): 3411, 2963, 1638, 1520, 1452, 1174, 845, 750, 704, 560. Elemental analysis calcd for $C_{30}H_{41}F_6N_4O_4P$: C 54.05; H 6.20; N 8.40; P 4.65. Found C 53.77; H 6.35; N 8.61; P 4.72. HRMS: C30H41N4O4 calcd 521.3122, found 521.3117.

4.3. General procedure for the aldol reaction

Ketone 7 (1.30 mmol) and aldehyde 8 (0.13 mmol) and AcOH (0.02 mmol, if specified) were added to a suspension of the catalyst 1a, 1b or 1c (0.02 mmol) in toluene (0.2 mL). The reaction mixture was stirred at ambient temperature for the indicated time (TLCmonitoring) (Tables $1-3$). The solvent was evaporated under reduced pressure and the residue was extracted with $Et₂O (3×1 mL)$. The combined extracts were evaporated in vacuo affording aldols 9, which were purified by column chromatography on silica gel. Yields of compounds 9 are given in [Tables 1](#page-1-0)–[3](#page-1-0). Optical rotations and 1 H NMR data of compounds **9a–c**, 9a,c 9a,c 9a,c **9e**, 9c 9c 9c **9g**, 8b 8b 8b **9k**, 13 13 13 **91**, 13 **9n**, 8e 8e 8e and 90^{8b} 90^{8b} 90^{8b} were identical to reported in the literature. Characteristics of newly synthesized compounds are given below.

4.3.1. (3R,4S)-4-(4-fluorophenyl)-3,4-dihydroxybutan-2-one **(9d).** Colorless oil; $[\alpha]_D^{20}$ +26.3 (c 1, MeOH); ¹H NMR (CDCl₃): $\delta = 7.41$ (m, 2H, Ar), 7.08 (t, J=9.2 Hz, 2H, Ar), 5.0 (d, J=3.6 Hz, 1H, CHOH), 4.37 (d, J=3.3 Hz, 1H, CHOH), 2.19 (s, 3H, CH₃) ppm; ¹³C NMR (CDCl₃) δ : 207.1, 160.5, 136.5, 128.9, 115.7, 95.6, 73.4, 18.4. IR spectra (KBr, cm $^{-1}$): 3459, 1716, 1511, 1450, 1384, 1097, 1307, 1097, 758, 669. HPLC (Chiralcel AD, n -hexane/*i*-PrOH=9/1, flow rate=1.0 mL/min, λ =254 nm, t_1 (minor)=11.2 min, t_2 (major)= 12.7 min). Elemental analysis calcd for $C_{10}H_{11}FO_3$: C, 60.60; H, 5.59; found C, 60.84; H, 5.71.

4.3.2. 4-((1S,2R)-1,2-dihydroxy-3-oxobutyl)benzaldehyde (**9f**). Colorless oil; $[\alpha]_D^{20}$ +32.4 (c 1, MeOH); ¹H NMR (300 MHz, CDCl₃) δ : 10.03 (s, 1H, CHO), 7.92 (d, J=8.0 Hz, 2H, Ar), 7.61 (d, J=8.0 Hz, 2H, Ar), 5.17 (d, J=2.5 Hz, 1H, CHOH), 4.41 (d, J=2.7 Hz, 1H CHOH), 2.17 (s, 1H, CH₃); ¹³C NMR (CDCl₃) δ : 208.5, 191.9, 148.9, 135.1, 129.4–126.5 (Ar), 80.4, 79.4, 73.1, 67.8, 25.9. IR spectra (KBr, cm⁻¹): 3460, 1703, 1609, 1419, 1360, 1307, 1064, 844, 668. HPLC (Chiralcel AD, n-hexane/i-PrOH=9/1, flow rate=1.0 mL/min, λ =254 nm, t₁ (minor)=15.5 min, t_2 (major)=16.7 min). Elemental analysis calcd for C₁₁H₁₂O₄: C, 63.45; H, 5.81; found C, 63.31; H, 5.89.

4.3.3. (3R,4S)-3,4-Dihydroxy-4-(3-phenoxyphenyl)butan-2-one (**9h**). Colorlessoil; $[\alpha]_D^{20} + 23.1(c1, \text{MeOH})$;¹HNMR(CDCl₃) δ :7.22–6.25 (9H,Ar),4.86(d,J=3.2Hz,1H,CHOH),4.50(d,J=3.1Hz,1H,CHOH),2.08(s,3H, $CH₃$)ppm;¹³CNMR(CDCl₃) δ :207.1,156.1,155.3,140.5,128.2,128.4,121.6, $120.4, 117.3, 116.1, 95.5, 73.5, 18.4$. IRspectra(KBr,cm⁻¹): 3465, 1706, 1489, 1359,1250,1085,756,694.HPLC (Chiralcel AD, n -hexane/i-PrOH=9/1, flow rate=1.0 mL/min, λ =254 nm, t_1 (minor)=15.1 min, t_2 (major)= 18.4min).ElementalanalysiscalcdforC₁₆H₁₆O₄:C,70.57;H,5.92;foundC, 70.73;H,6.07.

4.3.4. (3R,4S)-3,4-Dihydroxy-4-(naphthalen-2-yl)butan-2-one **(9i**). Colorless oil; $[\alpha]_D^{20} + 32.7$ (c 1, MeOH); ¹H NMR (CDCl₃) δ : 7.88 $(m, 4H, Ar), 7.51$ $(m, 4H, Ar), 5.20$ $(d, J=3.3$ Hz, 1H, CHOH), 4.49 $(d,$ J=3.3 Hz, 1H, CHOH), 2.18 (s, 1H, CH₃); ¹³C NMR (CDCl₃) δ : 207.1, 133.5–127.2 (Ar), 93.0, 75.6, 19.2. IR spectra (KBr, cm⁻¹): 3429, 1715, 1384, 1360, 1171, 1056, 758, 669. HPLC (Chiralcel AD, n-hexane/i-PrOH=7/3, flow rate=0.7 mL/min, λ =254 nm, t₁(minor)=7.3 min, t_2 (major)=10.2 min). Elemental analysis calcd for C₁₄H₁₄O₃: C, 73.03; H, 6.13; found C, 73.28; H, 6.26.

4.3.5. (3R,4S)-3,4-Dihydroxy-4-(thiophen-2-yl)butan-2-one **(9j**). Pale-yellow oil; $[\alpha]_D^{20} + 42.4$ (c 1, MeOH); ¹H NMR (CDCl₃) δ : 7.31 (m, 1H, Ar), 7.13 (m, 1H, Ar), 6.98 (m, 1H, Ar), 5.28 (d, J=2.75 Hz, 1H, CHOH), 4.42 (d, J=2.75 Hz, 1H, CH, CHOH), 2.25 (s, 3H, CH₃); ¹³C NMR (300 MHz, CDCl₃) δ: 207.5, 205.3, 143.6, 127.1-124.7 (Ar), 80.8, 70.8, 69.0, 29.8, 25.3. IR spectra (KBr, cm $^{-1}$): 3460, 1711, 1531, 1359, 1230, 1160, 1063, 750, 685. HPLC (Chiralcel AD, n-hexane/i-PrOH=9/1, flow rate=1.0 mL/min, λ =254 nm, t₁(minor)=7.7 min, t_2 (major)=8.1 min). Elemental analysis calcd for C₈H₁₀O₃S: C, 51.60; H, 5.41; found C, 51.49; H, 5.52.

4.3.6. (3R,4S)-4-Hydroxy-3-methoxy-4-thiophen-2-yl-butan-2-one **(9m**). Pale-yellow oil; $[\alpha]_D^{20} + 38.4$ (c 1, MeOH); ¹H NMR (CDCl₃) δ : 7.31(m, 1H, Ar), 7.05 (m, 1H, Ar), 6.99 (m, 1H, Ar), 5.17 (d, J=3.3 Hz, 1H, CHOH), 3.81 (d, J=3.3 Hz, 1H, CHOH), 3.49 (s, 3H, CH₃), 2.21 (s, 3H, CH₃); ¹³C NMR (CDCl₃) δ : 207.3, 146.3, 126.3, 125.5, 124.5, 102.4, 80.0, 51.5, 19.2. IR spectra (KBr, cm $^{-1}$): 3462, 1704, 1529, 1360, 1223, 1165, 1060, 755, 690. HPLC (Chiralcel AD, n-hexane/i-PrOH 7/3, flow rate=0.7 mL/min, λ =254 nm, t₁(minor)=10.4 min, t₂(major)= 13.8 min). Elemental analysis calcd for $C_9H_{12}O_3S$: C, 53.98, H, 6.04; found C, 54.16, H, 5.95.

4.4. Catalyst recycling

Fresh portions of starting compounds 7a and 8a, toluene and AcOH if specified were added to the catalyst 1a, that remained after the extraction of the product 9a, and the reaction was re-performed as described in the procedure [4.3](#page-4-0) [\(Table 3](#page-2-0)).

4.5. Synthesis of (1S,2R,3R)-1-(2-nitrophenyl)butane-1,2,3 triol (10)

Sodium borohydride (63.4 mg, 1.67 mmol) was added to a solution of 3,4-dihydroxy-4-(2-nitrophenyl)-butane-2-one (9b) (37 mg, 0.17 mmol) in MeOH (1.5 mL) at 0 \degree C. The reaction mixture was stirred at 20 \degree C for 30 min, diluted with water (1.5 mL) and extracted with CH_2Cl_2 (3×5 mL). The combined organic extracts were washed with brine (10 mL) and dried over anhydrous $Na₂SO₄$. The solvent was evaporated in vacuo (20 Torr), the residue was purified by column chromatography on silica gel (0.060-0.200 nm, eluent EtOAc/n-hexane 1:1) to afford the compound **10** (33 mg, 80%) as colorless oil.^{8a}

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Supplementary data

Supplementary data associated with this article can be found in the online version at [doi:10.1016/j.tet.2011.01.017.](http://dx.doi.org/doi:10.1016/j.tet.2011.01.017)

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